

# Sm1, a Proteinaceous Elicitor Secreted by the Biocontrol Fungus *Trichoderma virens* Induces Plant Defense Responses and Systemic Resistance

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The soilborne filamentous fungus *Trichoderma virens* is a biocontrol agent with a well-known ability to produce antibiotics, parasitize pathogenic fungi, and induce systemic resistance in plants. Even though a plant-mediated response has been confirmed as a component of bioprotection by *Trichoderma* spp., the molecular mechanisms involved remain largely unknown. Here, we report the identification, purification, and characterization of an elicitor secreted by *T. virens*, a small protein designated Sm1 (small protein 1). Sm1 lacks toxic activity against plants and microbes. Instead, native, purified Sm1 triggers production of reactive oxygen species in monocot and dicot seedlings, rice, and cotton, and induces the expression of defense-related genes both locally and systemically in cotton. Gene expression analysis revealed that *SMI* is expressed throughout fungal development under different nutrient conditions and in the presence of a host plant. Using an axenic hydroponic system, we show that *SMI* expression and secretion of the protein is significantly higher in the presence of the plant. Pretreatment of cotton cotyledons with Sm1 provided high levels of protection to the foliar pathogen *Colletotrichum* sp. These results indicate that Sm1 is involved in the induction of resistance by *Trichoderma* spp. through the activation of plant defense mechanisms.

Filamentous fungi from the genus *Trichoderma* have long been recognized as agents for the biocontrol of plant diseases. These free-living fungi are ubiquitous in the soil environment and are being successfully used and commercialized to combat a broad range of phytopathogenic fungi such as *Rhizoctonia solani*, *Pythium ultimum*, and *Botrytis cinerea* (Fravel 2005; Hjeljord et al. 2000). *Trichoderma* spp. can directly impact other fungi: after sensing a suitable fungal host, *Trichoderma* spp. respond with the production of antibiotic compounds, formation of specialized structures, and degradation of the host's cell wall, followed by the assimilation of its cellular content, a process known as mycoparasitism (Benitez et al. 2004; Chet and Chernin 2002). The mechanisms of mycoparasitism, antibiosis, and competition afforded by *Trichoderma* spp. have

been widely studied (Harman et al. 2004a; Howell 2003). In fact, more than 100 different metabolites with known antimicrobial activities have been described so far, including antifungal cell-wall-degrading enzymes, peptaibols, and broad-spectrum antibiotics such as gliotoxin (Howell et al. 1993; Kim et al. 2002; Pozo et al. 2004; Sivasithamparam and Ghisalberti 1998; Wiest et al. 2002).

In addition to their mycoparasitic capabilities, many *Trichoderma* strains are "rhizosphere competent"; that is, they are able to colonize and grow in association with plant roots and significantly increase plant growth and development (Ahmad and Baker 1987). Cytological studies have demonstrated that *Trichoderma* hyphae are able to penetrate the root tissue and colonize several epidermal layers, but are restricted from spreading further by formation of plant cell wall appositions enriched with callose (Yedidia et al. 1999). Colonization by particular strains very rarely is detrimental to the plant or results in a pathogenic interaction (Harman et al. 2004a). In contrast, root colonization by *Trichoderma* spp. frequently is associated with induction of both local and systemic resistance to pathogen attack (Shoreish et al. 2005; Yedidia et al. 1999, 2000, 2003). Indeed, induction of systemic resistance against fungal and bacterial pathogens in diverse dicot and monocot plants has been demonstrated (Harman et al. 2004b; Howell et al. 2000; Yedidia et al. 2003). Even so, the relevance of the induction of plant resistance by *Trichoderma* spp. in the biocontrol process only recently has been envisaged (Harman et al. 2004a).

Extensive communication occurs between plants and microbes during the early stages of their association, in which signaling molecules play an essential role. Microbes are able to detect the plant host and initiate their colonization strategies, and plants are able to recognize microbe-derived molecules and tailor their defense responses according to the type of microorganism encountered. This molecular dialogue will determine the final outcome of the relationship, ranging from parasitism to mutualism, usually through highly coordinated cellular processes (Bais et al. 2004; Pozo et al. 2005). Signaling during plant–pathogen associations has been a central topic in phytopathology for many years, whereas more recent efforts are being made to understand the communication processes involved in plant interactions with nonpathogenic microorganisms, especially those improving plant fitness or inducing systemic resistance (Harrison 2005; Limpens and Bisseling, 2003; Pieterse et al. 1998). A large array of signaling molecules (elicitors) of microbial origin that initiate plant defense responses have been characterized (Nimchuk et al. 2003). Plant cells exposed to

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Nucleotide sequence for *SMI* is available in the GenBank database under accession number DQ121133.

elicitors, whether crude fungal cell wall fragments or defined molecules such as purified proteins and avirulence gene products, respond with a battery of cellular changes related to defense (Hammond-Kosack and Jones 1996; Yang et al. 1997). These responses include rapid ion fluxes and the generation of reactive oxygen species, accumulation of phytoalexins, and synthesis of pathogenesis-related (PR) proteins such as chitinases and glucanases (Mittler et al. 2004; Nicholson and Hammersmidt 1992; Van Loon and Van Strien 1999). Small, cysteine-rich proteins are common among microbial molecules involved in early signaling, and include well-characterized types such as fungal avirulence gene products, elicitors, and hydrophobins (Templeton et al. 1994). Besides their involvement in pathogenicity (Tucker and Talbot 2001), some of these small proteins are proposed to play an important role in the specificity, recognition, and adhesion of symbiotic fungi to their host plants (Tagu et al. 2002; Wosten 2001).

During mycoparasitism, the ability of *Trichoderma* spp. to sense a potential fungal host has been demonstrated and regulatory sequences in the promoter region of mycoparasitism-related genes and key elements in the signaling transduction pathways involved in regulation of these genes have been identified (Cortes et al. 1998; Mendoza-Mendoza et al. 2003; Zeilinger et al. 2005). However, a clear understanding of the *Trichoderma* spp.–plant recognition and communication process is lacking. Only proteins with enzymatic activity, such as cellulase and xylanase, have been described as proteinaceous elicitors in *Trichoderma* spp., because they induce a hypersensitive response, expression of PR proteins, and phytoalexins in different plant species (Calderon et al. 1993; Martinez et al. 2001; Ron et al. 2000). Evidence for the production of other metabolites by *Trichoderma* spp. involved in plant defense elicitation has been provided, but not fully characterized (Hanson and Howell 2004; Harman et al. 2004a).

In an attempt to bring new insights into the mechanisms underlying the processes of plant–*Trichoderma* spp. recognition, defense elicitation, and induction of resistance, we have identified, purified, and characterized a small protein secreted by *Trichoderma virens*, designated Sm1 (small protein 1). Using an axenic hydroponic system, we show that the presence of the plant enhances the expression of *SM1*. The protein belongs to a family of phytotoxic proteins, common among fungal pathogens. However, experiments with the native purified protein confirmed that Sm1 lacks toxic activity against plants and microbes; however, it is an effective elicitor able to trigger plant defense reactions both locally and systemically. The effectiveness of resistance induction was demonstrated against a foliar pathogen of cotton.

## RESULTS

### Identification and isolation of Sm1 protein.

Previous reports have indicated that *T. virens* induces defense responses in plants (Hanson and Howell 2004; Howell et al. 2000). With the aim of discovering elicitor molecules from this fungus, we analyzed the pattern of proteins secreted by the strain Gv29-8. Electrophoretic analysis of protein extracts from *T. virens* culture filtrates revealed a remarkable abundance of a low molecular weight protein found in many different nutritional conditions and developmental stages tested (data not shown). These characteristics, namely, consistent and high expression in media with varying substrates including plant roots, small size, and being secreted, are consistent with the characteristics of fungal elicitors or hydrophobins (Nimchuk et al. 2003; Templeton et al. 1994). The protein was isolated and subjected to N-terminal sequencing, and the 44-residue sequence DTVSYDTGYDNGSRSLNDVSCSDGPNGLETRYH

WSTQGQIPRFP was obtained. Similarity searches using MPsrch at the European Bioinformatics Institute revealed homology to elicitors and allergens from fungal pathogens of plants and humans. Therefore, the protein designated Sm1 appeared to be a good candidate as a signaling compound and deserved further study.

### Cloning of the *SM1* gene and nucleotide sequence analysis.

Sequence similarity searches were performed (Blast) with the peptide sequence against the *T. reesei* expressed sequence tag (EST) database and a matching EST was found. Specific primers were designed to amplify the corresponding gene. Polymerase chain reaction (PCR) amplification of *T. virens* genomic DNA using the designed SmF-SmR primer pair yielded a 264-bp PCR product which was further sequenced. The deduced amino acid sequence of the PCR product was in agreement with the 44-residue sequence of Sm1 and the deduced amino acid sequence of *T. reesei* EST. The labeled PCR product was used as a probe to isolate the *SM1* gene from the *T. virens* bacterial artificial chromosomal (BAC) library (Grzegorski 2001). A 3.5-kb subclone containing *SM1* was sequenced (GenBank accession number DQ121133). Southern analysis of *T. virens* Gv29-8 genomic DNA digested with restriction enzymes *EcoRI*, *EcoRV*, *XbaI*, *BamHI*, *ApaI*, and *XhoI* revealed that *SM1* is present as a single copy in the *T. virens* genome (data not shown).

The DNA sequence includes 261 bp of 5' flanking region, an open reading frame (ORF) of 480 bp, and 259 bp of 3' flanking region (Fig. 1). The *SM1* ORF was interrupted by a single intron of 66 bp at positions 62 to 127, as confirmed by comparative sequence analysis of genomic and cDNA. The *SM1* ORF encoded a polypeptide of 138 amino acids with a predicted molecular mass of 14,426.0 Da, an isoelectric point (pI) of 5.76, and a signal peptide of 18 amino acids. The 3' region contained four putative polyadenylation signals (AAATA at 631, 653, and 663 and TAAATAA at 700) (Fig. 1). Analysis of the 5' region of the *SM1* gene revealed putative TATA boxes at positions –28 and –171 and putative CAAT boxes at –81 and –104 upstream of the ATG codon. To study the presence of putative regulatory motifs in the promoter region of *SM1* gene, the sequence analysis was expanded to 2 kb upstream of the ATG codon. Six CreA-binding motifs (5' SYGGRG 3') involved in catabolite repression in *A. nidulans* (Kulmburg et al. 1993; Sophianopoulou et al. 1993) were found at positions –1054, –1033, –1003, –948, –480, and –403. Only one HGATAR site, a possible binding consensus for nitrogen regulator such as AreA in *A. nidulans* (Ravagnani et al. 1997), was observed at position –1830. Three GCCARG binding sites for PacC that mediates pH regulation in *Aspergillus* spp. (Tilburn et al. 1995) were found at –1765, –1674, and –816. A stress response element (STRE), as described by Marchler and associates (1993) was located at –1600. Only two of the four mycoparasitism response elements (MYREs) described in the promoter region of the mycoparasitism-related genes *ech42* and *prb1* of *T. atroviride* (Cortes et al. 1998), MYC1 (GCTTCA) and MYC3 (CGGCAC), were found at positions –1925, –1669, –1218, and –119; and –1104 and –623; respectively.

Analysis of the deduced amino acid sequence of Sm1 (Blastp) showed that Sm1 shares high similarity to proteins of several plant and human fungal pathogens (Fig. 2). The group includes several genes encoding for proteins known to be related to pathogenesis from phytopathogenic fungi: *snodprot1* gene of *Phaeosphaeria nodorum* (70%), highly expressed during infection of wheat leaves (Hall et al. 1999); *sp1* gene of *Leptosphaeria maculans* (69%), expressed during the infection of *Brassica napus* cotyledons (Wilson et al. 2002); and cerato-platanin (58%), a phytotoxic protein of the plane tree pathogen *Cerato-*

*cystis fimbriata* f. sp. *platani* (Pazzagli et al. 1999). The highest similarity was to hypothetical proteins FG11205.1 of the cereal pathogen *Gibberella zeae* (77%), 73% to MG05344.4 of *Magnaporthe grisea* (rice blast fungus), and 71% to probable SnodProt1 PRECURSOR from the saprophytic fungus *Neurospora crassa*. Proteins homologous to Sm1 from human fungal pathogens include an allergen from *Aspergillus fumigatus* (67%) (Hemmann et al. 1997) and an antigen (CS-Ag) with serine proteinase activity from *Coccidioides immitis* (67%) (Pan and Cole 1995). Interestingly, a 70% similarity was found to an immunomodulatory protein (Aca1) from a medicinal fungus *Antrodia campforate* (Hsu et al. 2005). Even though the amino acid sequence similarity between Sm1 and its homologues ranged from 58 to 77%, the sequence alignment relies on the pattern of cysteine residues in the sequence (Fig. 2A). Searches against the Pfam protein families database (Bateman et al. 2004) for conserved domains at the National Center for Biotechnology Information server (Marchler-Bauer and Bryant 2004) show that residues 20 to 138 of the Sm1 protein align 99.2% (*E* value= 2e-43) over the length of the cerato-platanin family (pfam07249). This family contains a number of fungal cerato-platanin phytotoxic proteins approximately 150 residues long, containing four cysteine residues that form two disulphide bonds.

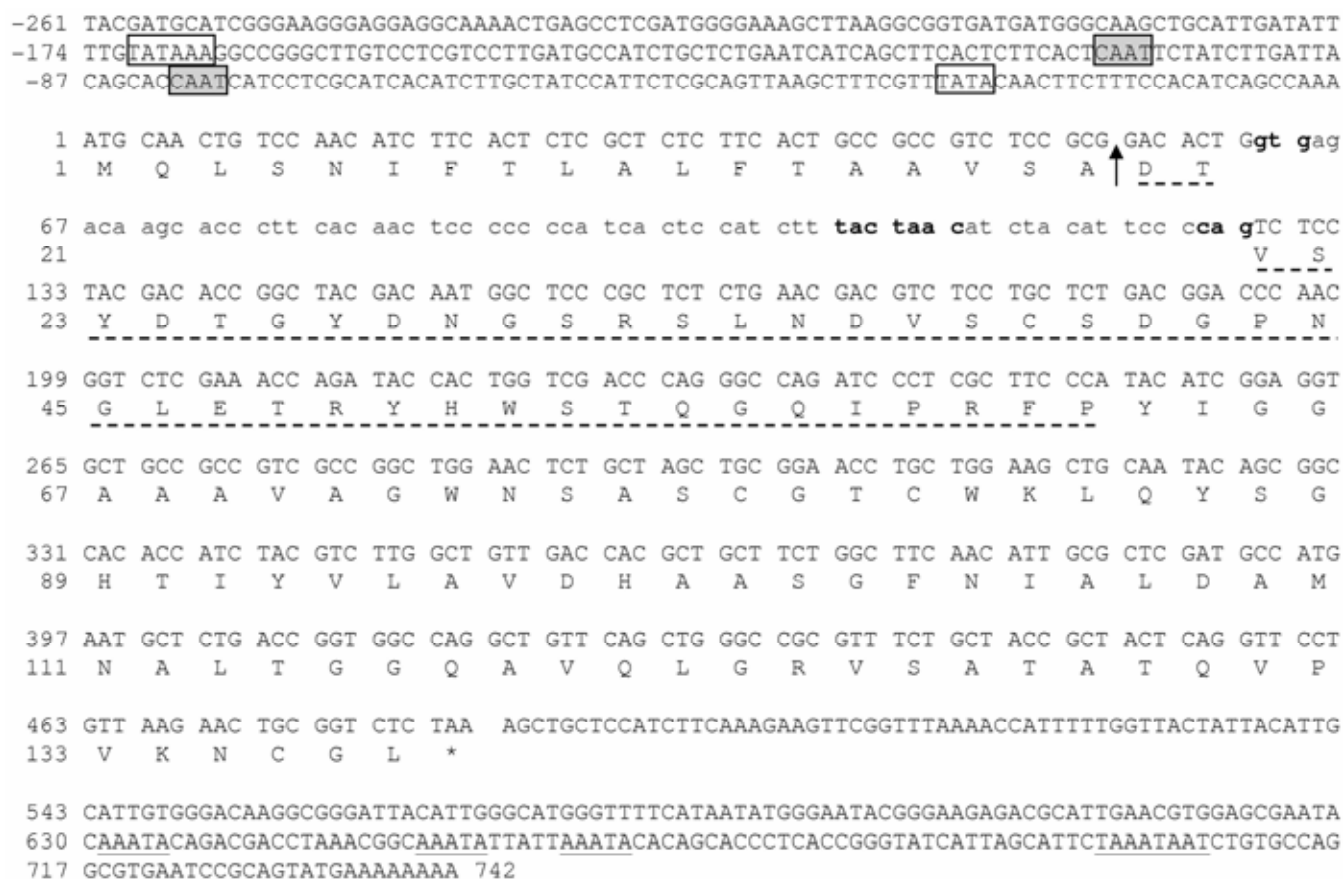
The amino acid composition of Sm1 reveals a high percentage of hydrophobic residues (40%), including four cysteines and three tryptophans. The hydropathy plot generated from the Sm1-deduced sequence indicates that a putative cleavage site is located between residues 18 and 19 (Fig. 2C). This prediction

corresponds to the data obtained by N-terminal sequencing of the secreted protein (Fig. 1, indicated by an arrow). Interestingly, the similarity of the amino acid sequence of signal peptides of Sm1 and its homologues is as high as 66% (Fig. 2B). The mature Sm1 protein contains 120 amino acids, predicted molecular mass of 12,545.8 Da, and pI of 5.78. Motif analysis (ScanProsite) of the Sm1 deduced amino acid sequence identified several potential post-translational modifications: tyrosine sulfation site (residue 16), casein kinase II phosphorylation site (residue 25, 33, and 38), *N*-glycosylation site (residue 29), and *N*-myristoylation site (residue 65).

### Sm1 is expressed in all developmental stages of the fungus and is induced in the presence of the plant.

Northern analysis of *SMI* transcript levels revealed basal levels of expression in all nutritional conditions tested (Fig. 3A). In the absence of a carbon source on Vogel's minimal medium (VM), relatively abundant *SMI* transcripts were detected at all time points. However, when Gv29-8 was growing in a medium with glucose as a carbon source (VMG), *SMI* was repressed during the early time points. This early repression also was observed in a medium containing *Rhizoctonia* cell walls as a carbon source (VMR). In contrast to the upregulation observed in mycoparasitism related genes (Fig. 3A, middle panel) (Baek et al. 1999; Olmedo-Monfil et al. 2002; Pozo et al. 2004), expression of *SMI* in the presence of cell walls was observed only at later time points.

The second experiment examined *SMI* expression during different developmental stages of fungal growth (Fig. 3B). The

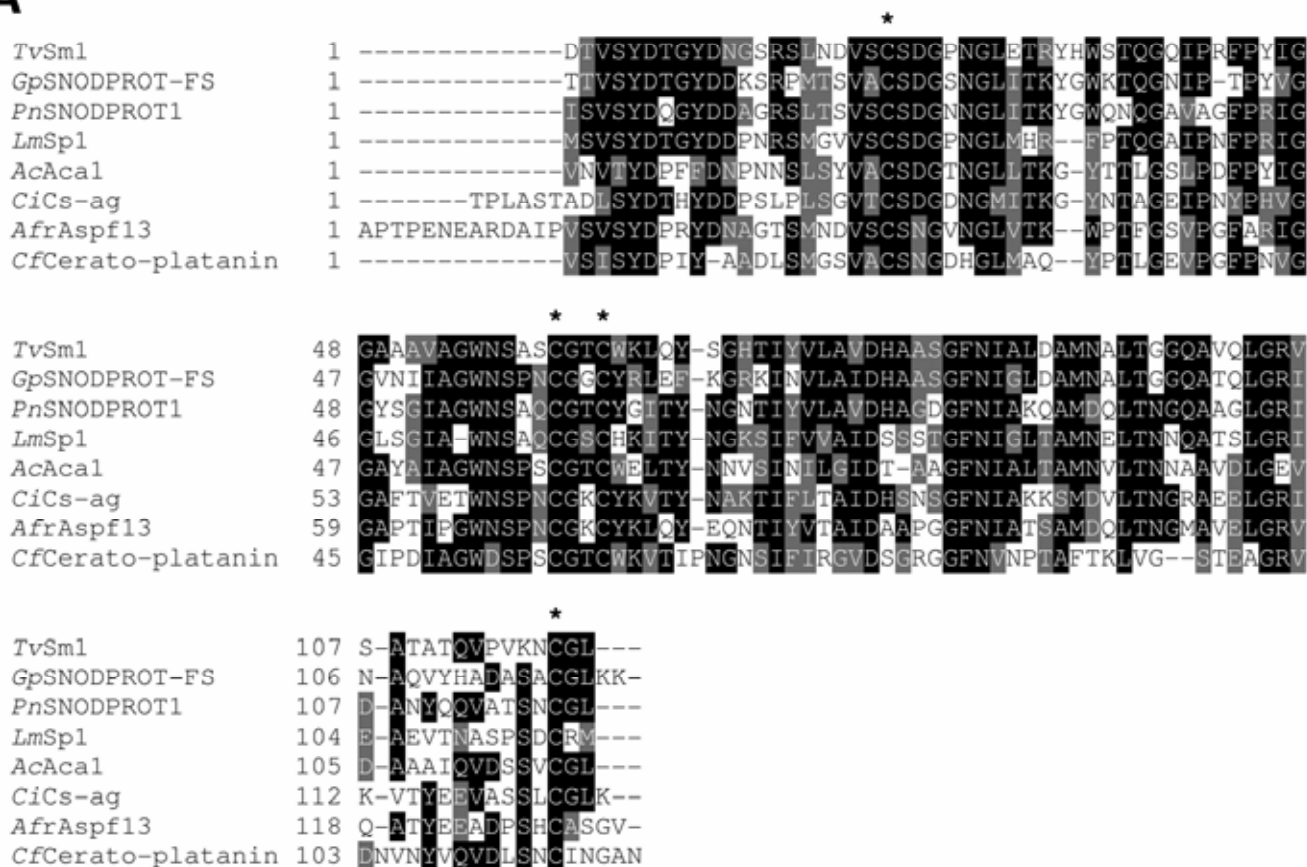


**Fig. 1.** Nucleotide and deduced amino acid sequences of Sm1. The predicted amino acid sequence of Sm1 is shown below the nucleotide sequence. The dashed underlined peptide sequence corresponds to that obtained by N-terminal sequencing of the protein. The *SMI* open reading frame starts at position 1 and ends at 480. The intron sequence (at positions 62 to 127) is marked in lowercase. The consensus sequences for 5' and 3' intron splicing sites and for lariat formation are marked in bold. The arrow indicates the cleavage site of the signal peptide. The putative TATA and CAAT consensus sequences are in clear and shaded boxes, respectively. Solid underlined nucleotide sequences in the 3' region represent putative polyadenylation signal sites.

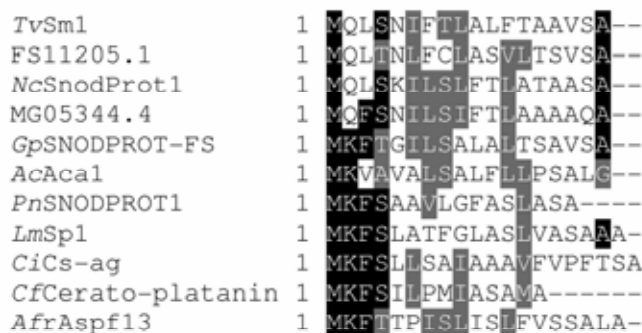
gene was expressed at all stages and under all conditions tested. *SM1* was expressed in germinating spores, with higher levels of transcription in spores germinating on a complex medium, potato dextrose agar (PDA), than on a minimal medium (VMS). As described for other fungi, germination occurs earlier on complex than on minimal media (data not shown), and that could account for the higher transcription levels on PDA at this stage. In both sporulating and nonsporulating (growing)

mycelia, *SM1* was expressed to a higher level in the defined medium (VMS) than in a complex one (PDA), coherently with the catabolic repression observed in the previous experiment. *SM1* also was found to be expressed during indirect confrontation with *Rhizoctonia solani*. Fungus grown in VMS liquid medium as submerged mycelia was used as positive control, because Sm1 protein isolated from culture filtrates showed maximum levels of expression under this condition.

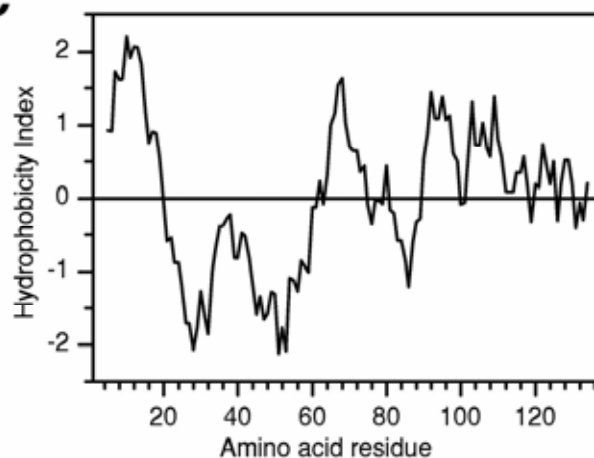
**A**



**B**



**C**



**Fig. 2.** Sequence alignments of **A**, the amino acid sequences of *Trichoderma virens* Sm1 mature protein, **B**, the signal peptide sequences and its homologues, and **C**, hydropathy plot of Sm1. Homologues: GpSNODPROT-FS (*G. pulicaris*), PnSNODPROT1 (*Phaeosphaeria nodorum*), LmSP1 (*Leptosphaeria maculans*), AcAcal (*Antrrodia camphorate*), CiCS-AG, (*Coccidioides immitis*), AfrAspf13 (*Aspergillus fumigatus*), CfCerato-platanin (*Ceratocystis fimbriata* f. sp. *platani*), FG11205.1 (*Gibberella zeae* PH-1), MG05344.4 (*Magnaporthe grisea*), and NcSnodprot1 (*Neurospora crassa*). GenBank accession numbers for the sequences are as follows: AY826795, AF074941, AY099225, AY569691, Q00398, AJ002026, AJ311644, AACM01000460, AACU01000497, and AL513410, respectively. Asterisks indicate the conserved cysteine residues. Identical amino acid residues are shaded in black and similar residues are shaded in gray. The hydropathic profile was calculated by the method of Kyte and Doolittle (1982) with a window of nine amino acids.

Finally, we examined whether *SM1* gene expression was regulated by the presence of the plant using a hydroponic growth system that allowed the coculture of *T. virens* and cotton seedlings (Fig. 4A). RNA samples from *T. virens* growing in the hydroponic system with or without the seedlings were compared. *SM1* transcript levels were higher when *T. virens* was growing in contact with the plant than when growing alone (Fig. 4B). In agreement, the analysis of the secreted proteins in the medium showed that Sm1 was more abundant in the filtrate from the plant–fungus coculture than in the filtrate from the fungus growing alone (Fig. 4C).

#### Sm1 purification and matrix-assisted laser desorption ionization time-of-flight analysis.

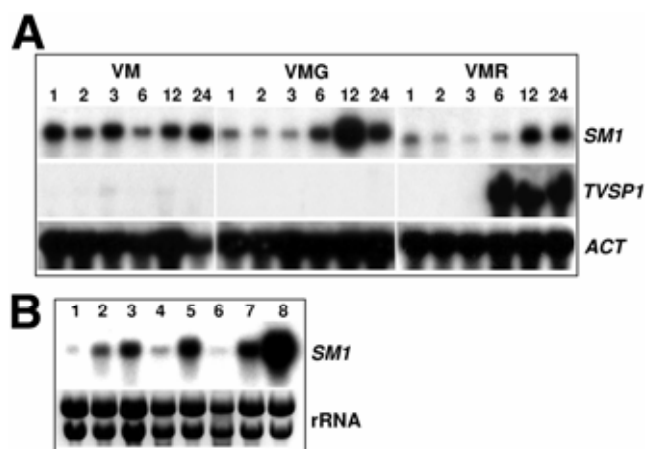
To characterize the function of Sm1, we proceeded to purify Sm1 in its native form from *T. virens* Gv29-8 culture filtrates. Several purification steps were required beginning with the protein extracts being subjected to anion exchange chromatography (AEX). The AEX elution profile is shown in Figure 5A. Sm1 was eluted at approximately 80 mM NaCl (fraction 5, under the major peak). The AEX fraction containing Sm1 was further submitted to gel filtration chromatography (GFC) and pure Sm1 was eluted in fractions 48 to 55 (the major peak) (Fig. 5B). The successive purification step performed with GFC allowed isolation of a protein purified to homogeneity as demonstrated by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) (Fig. 5C). Concentration of pure Sm1 protein was determined based on molar absorption coefficient (Pace et al. 1995). The final yield of protein obtained from 1 liter of starting culture filtrate was approximately 1.6 mg.

The mass spectrum obtained by a matrix-assisted laser desorption ionization time-of-flight (MALDI/TOF) spectrometer of the pure native Sm1 protein revealed a single peak at 12,611.79 m/z (data not shown). This mass determination is in

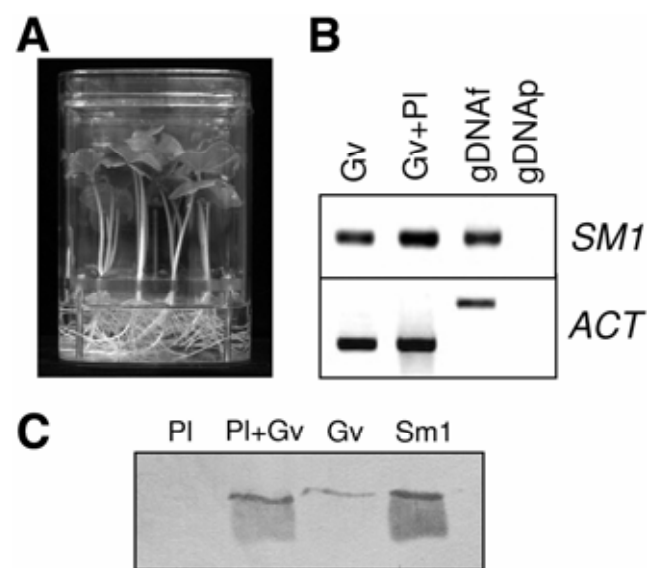
agreement with the predicted molecular weight of the mature protein according to the deduced amino acid sequence, suggesting that no post-translational modifications occur.

#### Enzymatic and toxic activity tests.

The properties of Sm1 were defined by conducting different activity tests with the purified protein. First, putative toxic activity against bacteria and fungi was tested. Sm1 was not found to inhibit the growth of any pathogenic bacteria, fungi, or oomycetes tested (*Clavibacter michiganensis*, *Bacillus cereus*, *Xanthomonas campestris*, and *Agrobacterium tumefaciens*; *Mucor hiemalis*, *Sclerotium rolfsii*, *Botrytis cinerea*, *Fusarium oxysporum*, *Rhizoctonia solani*, and *Cochliobolus heterostrophus*; and *Pythium ultimum* and *Phytophthora infestans*, respectively) in either dual culture or antibiotic disk assays, even when the highest dose was applied (10 nmol). Additionally, the application of Sm1 did not result in any significant phytotoxicity against cotton, tobacco, peanut, or rice leaves because evidence of necrosis was not observed even though the leaves were wounded by needle to provide access to the mesophyll cells. Putative enzymatic activity of the pure protein for protease, chitinase,  $\beta$ -1,3-glucanase, and  $\beta$ -1,6-glucanase were not detected when Hide Azure powder, chymotrypsin substrate, 4-methylumbelliferyl- $\beta$ -D-N,N'-triacetylchitotriose or 4-methylumbelliferyl- $\beta$ -D-glucosaminide, laminarin, and pustulan were used as substrates, respectively (data not shown).



**Fig. 3.** Northern analysis of *SM1* expression. **A**, *SM1* expression during growth of *Trichoderma virens* Gv29-8 in submerged culture under different nutritional conditions (Vogel's minimal medium without carbon source [VM] or supplemented with either 1.5% glucose [VMG] or 0.5% fungal cell walls from *Rhizoctonia solani* [VMR]). Expression of *TVSP1* (Pozo et al. 2004) under the same conditions was included as a mycoparasitism-related gene for comparison. **B**, *SM1* expression during different developmental stages of fungal growth: *T. virens* Gv29-8 spores germinating for 12 h on solid VMS (lane 1) or potato dextrose agar (PDA) medium (lane 2); 2-day-old nonsporulating mycelia cultured on solid VMS (lane 3) or PDA (lane 4); 5-day-old sporulating mycelia cultured on solid VMS (lane 5) or PDA (lane 6); *T. virens* mycelia indirectly confronted with *R. solani* (lane 7); 5-day-old VMS liquid culture of Gv29-8 (lane 8). Total RNA was extracted from indicated conditions and 15  $\mu$ g loaded per lane. Equal sample loading was confirmed by **A**, hybridizing the blot with  $^{32}$ P-dCTP-labeled actin probe or by **B**, ethidium bromide-staining of the rRNA in the gel.



**Fig. 4.** Expression analysis of *Trichoderma virens* *SM1* in the presence of a host plant. **A**, Experimental design for the hydroponic system for plant–fungus coculture. Six-day-old cotton seedlings (*Gossypium hirsutum* cv. Paymaster 2326 BG/RR) were grown aseptically in Murashige and Skoog (MS) medium (300 ml) in Lifeguard culture boxes. Then, the boxes were inoculated with a fungal preparation of *T. virens* Gv29.8 and incubated for 48 h (Gv+PI). Two more treatments were included: *T. virens* growing in MS in the absence of cotton seedlings (Gv) and control plants growing in MS without *T. virens* (PI). **B**, Semiquantitative reverse-transcriptase polymerase chain reaction (sqRT-PCR) analysis of *SM1* expression in fungus growing in presence (Gv+PI) or absence (Gv) of the host. *T. virens* genomic DNA (gDNAf) was used as a positive control for the sqRT-PCR, and cotton genomic DNA was included to confirm the specificity of the primers to *T. virens* (gDNAp). Top panel, amplified *SM1* products after sqRT-PCR using *SM1* specific primers. Bottom panel, amplification of the constitutively expressed actin gene for control of equal cDNA amounts. **C**, Immunoblot analyses for detection of Sm1 secreted in the hydroponic growth medium. Equal volumes of concentrated samples equivalent to 300 ml of medium from the hydroponic system (PI, Gv+PI, and Gv) were loaded on a 15% sodium dodecyl sulfate polyacrylamide electrophoresis gel and electroblotted to a nitrocellulose membrane. Pure Sm1 was included as positive control (Sm1). The polyclonal antibody raised against Sm1 was used.

### Sm1 induces early plant defense reactions in cotton and rice.

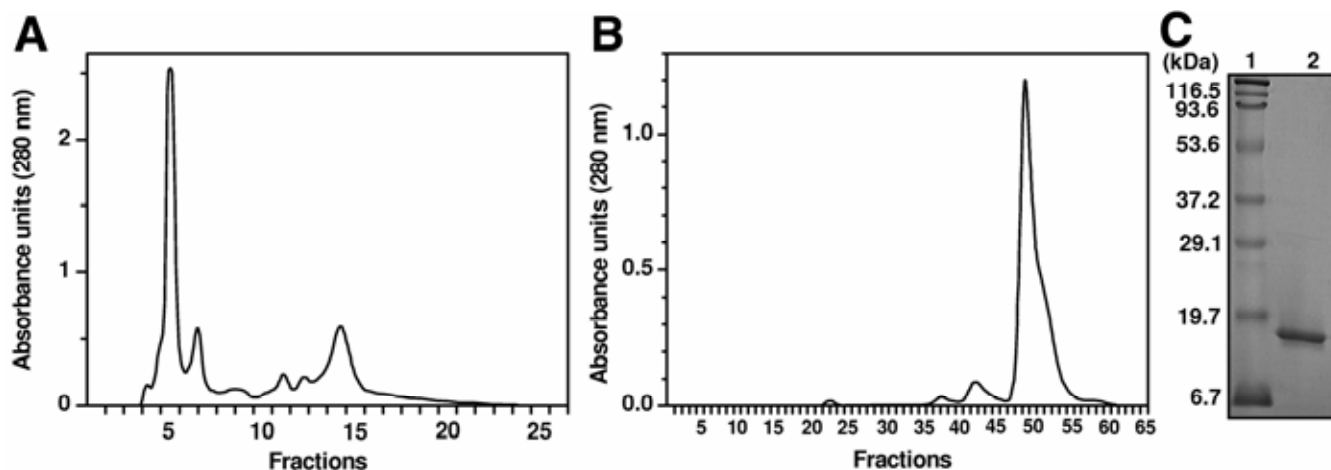
The lack of direct phytotoxic activity suggested a possible role of Sm1 as an elicitor of plant defense reactions. The production of reactive oxygen species (e.g., hydrogen peroxide) and accumulation of phenolic compounds (usually associated with autofluorescence of these compounds) are the early responses in plant-pathogen or elicitor recognition (Dixon et al. 1994; Hammond-Kosack and Jones 1996; Hutcheson 1998); therefore, we tested the ability of Sm1 to elicit these responses. The production of hydrogen peroxide in rice leaves (monocot) and cotton cotyledons (dicot) after exposure to Sm1 was examined. Application of a small amount of Sm1 (1 nmol) resulted in the production of hydrogen peroxide in both plants. This reaction is illustrated by the appearance of a brownish-red precipitate in the treated tissues, generated by polymerization of the hydrogen peroxide with nitro 3,3'-diaminobenzidine (Fig. 6). The induction of autofluorescence was tested in cotton cotyledons. A very slight response was found in water-treated leaves, probably due to the wounding of the leaf during the treatment application. In contrast, high levels of autofluorescence are apparent after treatment with 1 nmol of Sm1 or 52 nmol of 2,6-dichloroisonicotinic acid (INA), a salicylic acid (SA) analog used as positive control (Fig. 7).

### Sm1 induces the expression of plant defense genes locally and systemically.

To gain further insight into the plant response to the Sm1 elicitor, and to determine if the induction is local (at the site of application) or systemic, several experiments were performed. The expression profile of defense-related genes in different cotton genotypes was determined by semiquantitative reverse-transcriptase (RT)-PCR, using gene-specific primers (Table 1) and a *Gossypium hirsutum* actin gene (*ACT*) as an internal control. For each gene, PCR was performed within the linear amplification range. Six genes related to different plant defense pathways were selected: *GLU* and *CHT* (PR proteins), *CAD1-C* and *HMG* (terpenoid phytoalexin pathway), and *POD6* and *GhLOX1* (related to oxidative burst and hypersensitive reactions) (Chen et al. 1995; Delannoy et al. 2003; Dowd et al. 2004; Jalloul et al. 2002). SA or INA was used as a positive control because both are known to resemble defense-related signal compounds for systemic acquired resistance to pathogen infection (Ward et al. 1991).

The expression of these defense-related genes first was examined locally at the site of application. Gene expression 12 h after application of Sm1, SA, or H<sub>2</sub>O to cotton roots is shown in Figure 8A. Based on mRNA accumulation profiles, the expression of PR genes (*GLU* and *CHT*) was induced by Sm1 application in all three genotypes. Interestingly, treatment with SA did not yield such an induction of *GLU* and *CHT* transcripts in Deltapine-50 or CA3274. Sm1 treatment also induced *POD6* and *LOX1* expression in all genotypes. Although *LOX1* was induced by SA treatment, *POD6* was not. *CAD1-C* and *HMG* were constitutively expressed in the roots and showed no response to the Sm1, SA, or H<sub>2</sub>O treatment. The effect on gene expression was similar when a much lower concentration of Sm1 (0.6 nmol/root) was applied (Fig. 8A, lane Sm1<sup>a</sup>). When Sm1 was applied to cotton cotyledons, local induction of defense genes was similar to the response observed in roots (Fig. 8B), except that *CAD1-C*, which was constitutively expressed in roots, showed induction by Sm1 and INA treatments in the cotyledon tissue. A similar pattern was observed for *HMG*, a gene involved in the same pathway, although constitutive expression was notably higher. Sm1 elicitation caused a stronger upregulation of *CAD1-C*, *HMG*, *LOX1*, and *POD6* than treatment with INA. In summary, the treatment of plant roots or cotyledons with pure native Sm1 protein resulted in local induction of defense-related genes in all three cotton genotypes tested, despite the low doses of Sm1 applied.

The possible induction of systemic defense reactions was analyzed in a system in which cotton roots (Deltapine-50) were exposed to Sm1 but contact of the protein with the leaves was avoided (Fig. 9A). Treatment with SA also was included as positive control. Gene expression was analyzed in the cotyledons after application of the treatments to the roots, and a clear systemic response was observed 24 h after application of Sm1 or SA (Fig. 9B). Similar to the local response observed in the treated tissues (roots and cotyledons), PR genes *GLU* and *CHT* were upregulated. Induction of *LOX1* and *POD6* by both treatments also was observed. Both *CAD1-C* and *HMG* were induced upon Sm1 treatment but not by SA. The gene expression profile of directly inoculated roots (data not shown) was similar to the pattern corresponding to roots sprayed with Sm1 and SA (Fig. 8A). These data indicated that Sm1 activated systemic expression of defense-related genes in cotton.



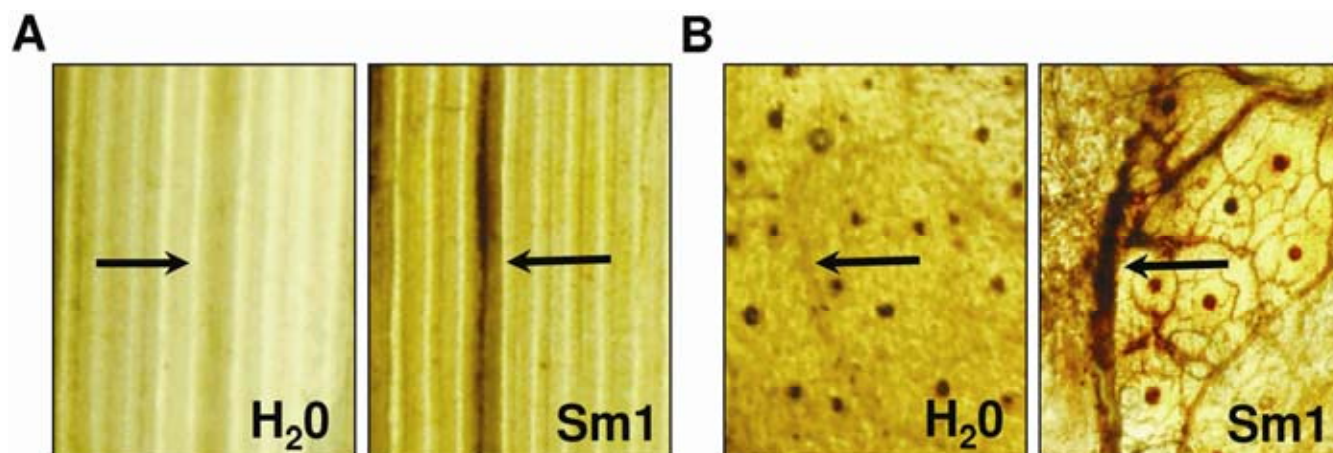
**Fig. 5.** Purification of native Sm1 from culture filtrates of *Trichoderma virens* Gv29-8. **A**, Anion exchange chromatography of concentrated culture filtrate loaded on Sepharose Q column. The divisions on the x axis indicate 5-ml elution fractions. The fraction containing Sm1 (# 5) was dialyzed, concentrated, and applied to the Superdex 200 column. **B**, Gel filtration chromatography; the column (Superdex 200) was eluted with 50 mM Tris and 100 mM NaCl at a flow rate of 0.5 ml/min. The divisions on the x axis indicate 2-ml elution fractions. The 2-ml fractions 48 to 54 (the major peak) were analyzed for protein purity by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) (13.5%) analysis followed by **C**, Coomassie staining. A single band of Sm1 (2  $\mu$ g) was detected, indicating a pure protein (lane 2). Lane 1, molecular mass marker: prestained SDS-PAGE broad range standards (Bio-Rad).

Finally, to assess the biological relevance of these results, we sought to determine whether the defense response detected after treatment with Sm1 reflected the plant reaction to the living fungus. The ability of *T. virens* to induce the expression of plant defense genes, such as *GLU* and *CHT*, in hydroponically grown cotton was examined. As observed in Sm1-induced cotton (Figs. 8 and 9), expression of both PR genes was upregu-

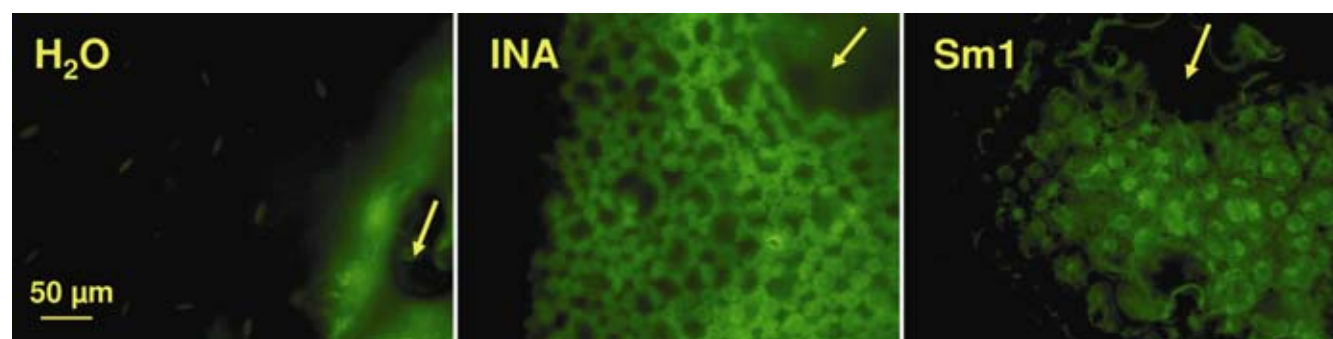
lated in the plants growing in coculture with *T. virens* compared with the plants growing alone (Fig. 10).

#### Sm1 induces resistance against a foliar pathogen of cotton.

In order to assess the disease resistance of cotton plants pretreated with Sm1, a detached-cotyledon assay was carried out with an isolate of *Colletotrichum* used as a pathogen. Cotyle-



**Fig. 6.** H<sub>2</sub>O<sub>2</sub> accumulation in rice and cotton. **A**, Rice leaves or **B**, cotton cotyledons were treated with Sm1 (1 nmol) or H<sub>2</sub>O (negative control). Treated tissues were harvested 24 h after treatment, and excised leaf or cotyledon sections were infiltrated with a solution of 3,3'-diaminobenzidine (DAB). In the presence of H<sub>2</sub>O<sub>2</sub>, DAB polymerizes, forming a dark red-brown precipitate staining the leaf veins (indicated by arrows). Microscopy was performed using Olympus Stereoscope SZX-9 at ×40 magnification.



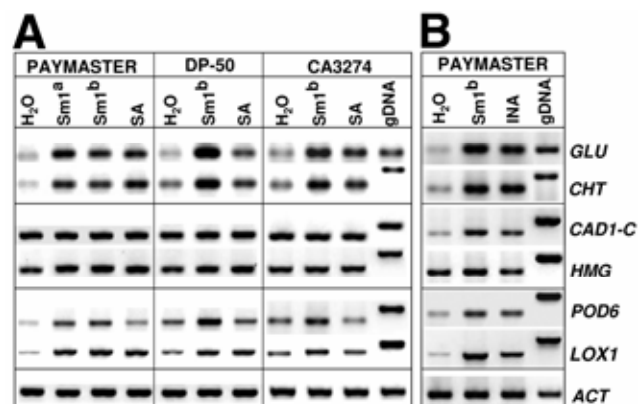
**Fig. 7.** Induction of autofluorescence in cotton cotyledons. Droplets of Sm1 (1 nmol), 2,6-dichloroisonicotinic acid (INA; 52 nmol, positive control), or water (negative control) were placed on the upper surface of slightly punctured cotyledons. Presence of autofluorescence in treated cotyledons was assessed after 24 h of incubation. Micrographs are centered on the treated region surrounding the application area (indicated by arrows). Microscopy was performed using Olympus BX-51 fluorescent microscope with excitation from 470 to 490 nm, emission from 510 to 550 nm, and ×200 magnification.

**Table 1.** List of primers of the cotton defense genes used in RT-PCR and their sources

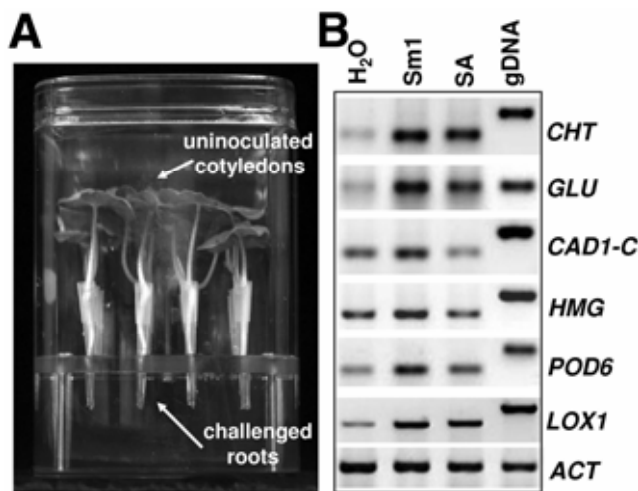
Primer name	Sequence (5' to 3')	Gene amplified	Product size (bp) cDNA	Primers source <sup>a</sup>
CHTf	ACCAAGCTACTCGCAAGAGG	Pathogen-induced class I chitinase	158	CD485880
CHTr	CGGAAGCGCAGTAAGATGA			
GLUf	CATTGATATGACCTTGATCG	Pathogen-induced glucanase	171	CD486342
GLUr	GTGAGATATCCCTTGGATTG			
CAD1-Cf	ATAAGGATGAAATGCGTCC	Elicitor-induced δ-cadinene synthase	433	Chen et al. 1995
CAD1-Cr	GAAGCTTGGTAAAGTTCCA			
HMGf	GATTTGAAGTTGTATTGGAG	Pathogen-induced HMG-CoA reductase	216	CD486522
HMGr	GAAATCAGTTTGAAGGAAA			
POD6f	CGCTGCTCGTGATTCTGTAG	Pathogen-induced class III peroxidase	362	Delannoy et al. 2003
POD6r	CCTGTGTCCAATCCAATCCT			
LOX1f	GCATGGAGGACTGATGAAGAGTT	Pathogen-induced lipoxygenase	1,060	Jalloul et al. 2002
LOX1r	GCATGGAAGGCTGAAGCCACCCATAT			
ACTf	CCTCCGCTAGACCTTGCTG	<i>Gossypium hirsutum</i> actin ACT9	416	AY305737
ACTr	TCATTCCGTCAGCAATACCA			

<sup>a</sup> Primer pairs used for amplification of *CHT*, *GLU*, *HMG*, and *ACT* gene fragments were designed based on the sequences of pathogen- or elicitor-induced cotton or other plant genes available in the GenBank database, and the corresponding accession number is indicated. The sequence of primer pairs used for amplification of *CAD1-C*, *POD6*, and *LOX1* gene fragments were obtained from the studies cited.

dons were inoculated with the pathogen 24 h after applying 5- $\mu$ l droplet of Sm1 (0.5 nmol/cotyledon), SA (25 nmol/cotyledon; positive control), or water (negative control) to the cut petiole. Control plants not treated with Sm1 or SA began

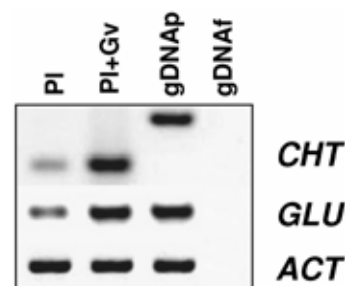


**Fig. 8.** Defense-related gene expression induced by Sm1 treatment locally in **A**, cotton roots 12 h after treatment or **B**, cotton cotyledons 24 h after treatment. **A**, Two different *Gossypium hirsutum* cultivars, Paymaster 2326 BG/RR and Deltapine-50, and a breeding line, CA3274, were used (listed on the top). Two-day-old cotton roots were sprayed with H<sub>2</sub>O (negative control), salicylic acid (SA; 75 nmol/root, positive control), or pure Sm1 (1.2 or 0.6 nmol/root [Sm1<sup>b</sup> or Sm1<sup>a</sup>, respectively]). **B**, Cut petioles of 1-week-old cotton cv. Paymaster cotyledons were treated with a 5- $\mu$ l droplet of water, Sm1 (1 nmol), or 2,6-dichloroisonicotinic acid (INA; 52 nmol). Expression of defense genes was analyzed by semiquantitative reverse-transcriptase polymerase chain reaction analysis. Gene-specific primers for the following cotton defense genes were: *GLU* ( $\beta$ -1,3-glucanase), *CHT* (chitinase), *CAD1-C* (+)- $\delta$ -cadinene synthase, *HMG* (3-hydroxy-3-methylglutaryl CoA reductase), *POD6* (peroxidase), and *GhLOX1* (lipoxygenase). Cotton actin gene (*ACT*) was used as control for equal amounts of cDNA. For positive control, genomic DNA (gDNA) was included.

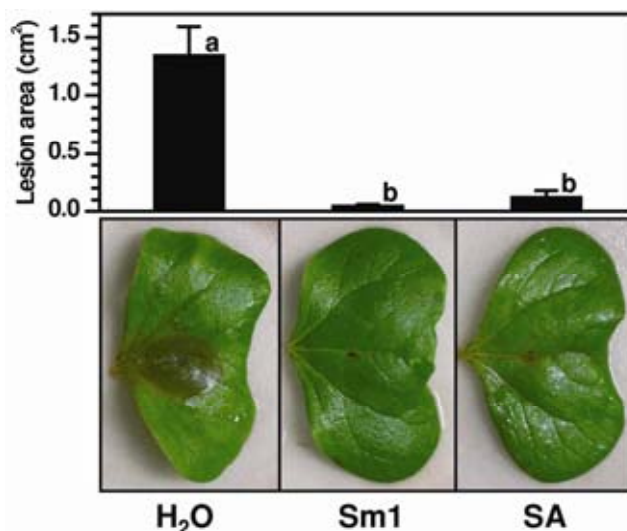


**Fig. 9.** Cotton defense-related gene expression induced by Sm1 treatment systemically. **A**, Experimental design for testing systemic induction of cotton defense genes. Four-day-old seedlings were placed into new vials containing 0.2 ml of sterile water, 3.2 nmol of Sm1, and 600 nmol of salicylic acid (SA). After 24 h of elicitation, the root and the distal cotyledons (indicated by arrows) were harvested separately and total RNA extracted. **B**, Defense-related gene expression was analyzed in the nontreated cotyledons 24 h after application of the treatments to the roots. Expression of defense genes was analyzed by semiquantitative reverse-transcriptase polymerase chain reaction analysis. Gene-specific primers for the following cotton defense genes were: *GLU* ( $\beta$ -1,3-glucanase), *CHT* (chitinase), *CAD1-C* (+)- $\delta$ -cadinene synthase, *HMG* (3-hydroxy-3-methylglutaryl CoA reductase), *POD6* (peroxidase), and *GhLOX1* (lipoxygenase). Cotton actin gene (*ACT*) was used as control for equal amounts of cDNA. For positive control, genomic DNA (gDNA) was included.

showing symptoms in the form of water-soaking lesions within 5 days. Disease assessment was conducted 10 days following the inoculation (Fig. 11); whereas, 12 days after inoculation, the symptomatic tissue collapsed and disintegrated, so that no disease progress was observed thereafter (data not shown). In contrast to typical water-soaking lesions observed in water-treated cotyledons, disease protection was evident in cotyledons treated with Sm1, because almost no lesion development could be observed in those plants (Fig. 11, bottom panel). Finally, the mean lesion area exhibiting symptoms in control plants (1.35 cm<sup>2</sup>) was significantly higher than in plants treated with Sm1 (0.05 cm<sup>2</sup>) or SA (0.13 cm<sup>2</sup>) (Fig. 11, upper panel). The results confirm that purified Sm1 is able to induce resistance to a foliar pathogen in cotton. The high level of protection resulting from the relatively low dose of protein applied (0.5 nmol) substantiates the concept that Sm1 is an effective elicitor.



**Fig. 10.** Induction of cotton defense related genes by coculture with *Trichoderma virens*. Expression analysis of pathogenesis-related (PRs) genes, *CHT* (chitinase), and *GLU* ( $\beta$ -1,3-glucanase) in roots of cotton seedlings grown without (PI) or with *T. virens* Gv29.8 (PI+Gv) in the hydroponic growth system (See Figure 4 for treatments). Expression of defense genes was analyzed by semiquantitative reverse-transcriptase polymerase chain reaction analysis. Gene-specific primers for the following cotton defense genes were *GLU* ( $\beta$ -1,3-glucanase) and *CHT* (chitinase). Cotton actin gene (*ACT*) was used as control for equal amounts of cDNA. For positive control, genomic DNA (gDNA) was included.



**Fig. 11.** Effect of Sm1 application on fungal disease protection. Detached cotton cotyledons were inoculated with an isolate of a *Colletotrichum* sp. 24 h after applying a 5- $\mu$ l droplet of water (negative control), Sm1 (0.5 nmol/cotyledon), or salicylic acid (SA; 25 nmol/cotyledon, positive control) to the cut petiole. The graph illustrates the levels of disease protection observed in each treatment. The mean lesion area was evaluated and photographed 10 days postinoculation. Each bar represents the mean  $\pm$  standard error of 14 plants. Columns with letter in common did not differ significantly according to Fisher's protected least significant difference test at a significance level of 5%.

## DISCUSSION

### Sm1 is a member of the cerato-platanin family.

Small, cysteine-rich proteins (including avirulence gene products, elicitors, and hydrophobins) have been proposed to play important roles in the specificity, recognition, and adhesion of some symbiotic fungi (Tagu et al. 2002; Templeton et al. 1994; Wosten 2001). Recently, a new family of hydrophobin-like proteins has been described based on the toxin cerato-platanin from the tree pathogen *Ceratocystis fimbriata* f. sp. *platani* (Pazzagli et al. 1999). The family consists of small (approximately 150 amino acids) secreted proteins, mainly associated with toxicity and infection processes, produced by plant and human fungal pathogens (Hall et al. 1999; Hemmann et al. 1997; Pan and Cole 1995; Pazzagli et al. 1999; Wilson et al. 2002). Despite the difference in total number of conserved cysteines (eight in hydrophobins and four in cerato-platanins), the cerato-platanin family shares several features in common with the hydrophobins, such as low molecular weight, moderate to high hydrophobicity, and presence of an amino-terminal secretion signal (Marchler-Bauer and Bryant 2004; Wosten 2001).

Here, we report the purification and functional characterization of Sm1, a 12.6-kDa protein isolated from culture filtrates of *T. virens*. As deduced from the characteristics of the purified protein and its amino acid sequence, Sm1 has all the features described for the cerato-platanin family: size, hydrophobicity, signal peptide, and conserved cysteine pattern. Sequence alignments of conserved domains confirmed that Sm1 belongs to this family (*E* value =  $2e-43$ ).

### Sm1 is not a toxin but displays strong elicitor activity.

Because members of this family are associated with toxicity, we first determined whether pure Sm1 in its native form displayed toxic activity toward other microbes or plants. Antibiotic or toxic effects were not observed against any of the diverse collection of bacteria or fungi tested. Additionally, no necrosis was found in any of the different plants treated with Sm1 (cotton, rice, tobacco, and peanut), even when high doses were applied (10 nmol). This is in contrast to cerato-platanin, because an application of 0.8 nmol of the purified protein was sufficient to induce leaf cell necrosis (Pazzagli et al. 1999).

Similar to Sm1 produced by the avirulent *T. virens*, only a few members of the cerato-platanin family are produced by nonpathogens. For example, Sm1 has high similarity (70%) to an immunomodulatory protein (Aca1) from the nonpathogen *Antrodia camphorate*, used in traditional Chinese medicine for treating cancer and inflammation (Hsu et al. 2005). In planta, fungal metabolites from nonpathogens have been shown to elicit plant defense responses and increase resistance against various pathogens without the induction of hypersensitive response or necrosis in the host (Chang et al. 1997; Madi and Katan 1998). Because *T. virens* is shown to induce plant resistance (Howell et al. 2000), we tested the capacity of Sm1 to act as an elicitor of plant defense reactions.

We examined early cellular events in plant-elicitor interactions such as the generation of reactive oxygen species (ROS) during the oxidative burst (Mittler et al. 2004). ROS control multiple cellular functions in plants, including the oxidative cross-linking of cell-wall proteins, alteration of the redox status to regulate specific plant transcription factors and direct antimicrobial activity, and initiation of the hypersensitive response (HR) (Bolwell and Wojtaszek 1997; Bowler and Fluhr 2000; Mittler et al. 2004). Sm1 induced significant production of  $H_2O_2$  in both rice and cotton leaves (Fig. 6), but did not cause HR-associated necrotic lesions. Previous studies have demonstrated that resistance and extracellular accumulation of

ROS are not necessarily associated with HR (Baker and Orlandi 1995; Glazener et al. 1996). Another early event associated with a plant response to pathogen attack is accumulation and oxidation of phenolic compounds such as phytoalexins and lignin, usually associated with autofluorescence of these compounds (Heath 2000; Nicholson and Hammersmidt 1992). Cerato-platanin was shown to induce autofluorescence 24 h after infiltration into tobacco leaves (Pazzagli et al. 1999). Similarly, Sm1 induced a significant autofluorescence response when applied to cotton cotyledons (Fig. 7). The dose applied (1 nmol) was comparable to that reported for cerato-platanin, elicitors, and other elicitors (Huet et al. 1995; Pazzagli et al. 1999; Scala et al. 2004).

We also investigated the transcriptional regulation of plant defense mechanisms in response to Sm1. Upland cotton (*G. hirsutum*) was chosen as the host plant because *T. virens* is rhizosphere competent on cotton, and the induction of phytoalexins and peroxidase activity in cotton roots treated with *T. virens* protein fractions have been reported (Hanson and Howell 2004). PR proteins often are used as markers of plant response to pathogens and their elicitor molecules (Bowles 1990; Somssich and Hahlbrock 1998). Recently, a large number of PR genes, including those encoding for glucanases and chitinases, have been identified in cotton upon infection with *F. oxysporum* (Dowd et al. 2004). In our study, both glucanase and chitinase genes were highly induced in all cotton genotypes, both locally and systemically, in response to Sm1 (Fig. 8). Remarkably, Sm1 elicitation caused a stronger upregulation of glucanase and chitinase than the treatment with SA in Deltapine-50.

Peroxidases also are related to resistance responses, including lignification and suberization, cross-linking of cell wall proteins, generation of ROS, and phytoalexin synthesis, and they possess antifungal activity themselves (Bolwell and Wojtaszek 1997; Caruso et al. 2001; Quiroga et al. 2000; Sasaki et al. 2004). Recently, several different peroxidases were cloned and studied in cotton plants during compatible and incompatible interactions with the bacterial pathogen *X. campestris* pv. *malvacearum* (Delannoy et al. 2003). Among them, *POD6* appeared to be related to resistance. In our study, *POD6* was induced by Sm1, both locally and systemically, and only slightly induced by water or SA. Evidence for an SA-independent regulation of defense-related peroxidases has been reported previously (Martinez et al. 2000; Sasaki et al. 2004). Similarly, the involvement of lipoxygenases in conferring resistance against pathogens has been demonstrated for several plant-pathogen systems, including cotton-*X. campestris* pv. *malvacearum* (Feussner and Wasternack 2002; Jalloul et al. 2002; Rance et al. 1998; Wilson et al. 2001). Here we show that *LOX1*, as with *POD6*, is upregulated after application of Sm1, both locally and systemically.

Several cotton sesquiterpenoids, with known fungistatic properties and insecticidal activities, can be induced by pathogen infection (Abraham et al. 1999; Chen et al. 1995, 1996; Stipanovic et al. 1999; Tan et al. 2000), but also by interaction with avirulent *Trichoderma* strains (Howell et al. 2000). We have investigated the transcriptional regulation of two genes encoding major enzymes of the mevalonate pathway leading to sesquiterpenoid biosynthesis in cotton, HMG-CoA reductase (*HMG*) and (+)- $\delta$ -cadinene synthase (*CADI-C*), upon Sm1 elicitation. Expression of both genes was induced by Sm1 in cotton cotyledon tissue both locally and systemically. Induction of these genes correlates well with the reported induction of phytoalexins (Hanson and Howell 2004) and with the observed autofluorescence response. Recently, induction of phytoalexin synthesis by cerato-platanin in host and nonhost plants also has been shown (Scala et al. 2004).

In summary, all bioassays performed confirmed that Sm1 constitutes an effective elicitor of plant defense responses, acting either locally at the site of application or systemically in distant tissues.

### **Sm1 induces disease resistance.**

We tested the ability of purified Sm1 to protect cotton cotyledons from infection and colonization by a *Colletotrichum* sp., the causal agent of anthracnose, a common and destructive disease on numerous crops (Prusky et al. 2000). Our results demonstrated that Sm1 was able to highly protect cotton cotyledons from infection and colonization by *Colletotrichum* sp. Remarkably, whereas, in water-treated controls, the disease progressed daily and finally caused degradation of the infected tissue, the disease in Sm1-pretreated cotyledons was almost completely blocked or highly reduced because water-soaking lesions were either absent or very minimal throughout the whole period. This clear effect of Sm1 in cotton resistance to *Colletotrichum* sp., and the described activation by Sm1 of defense-related gene expression, production of ROS, and phenolics, confirms that Sm1 induces disease resistance. Furthermore, the absence of direct effect on the pathogens and the lack of phytotoxicity satisfy the requirements of Sm1 as an elicitor of plant-induced systemic resistance (Friedrich et al. 1996; Ward et al. 1991).

### **SM1 is transcriptionally regulated during *Trichoderma* sp.–plant interaction.**

SM1 expression seems to be subject to complex regulation, both during development and in response to external stimuli. It is remarkable that SM1 expression was detected in every media and developmental stages analyzed. Although only a weak regulation by carbon sources of SM1 expression was observed in liquid media, the induction by carbon starvation and transient repression in media containing carbon may indicate catabolic repression, further supported by the data of gene expression from solid media. This repression is coherent with the presence in the promoter region of putative *cis*-acting elements involved in carbon regulation. Because the soil is generally considered to be a nutritionally sparse environment, SM1 expression is expected to be derepressed in exploratory mycelia of *Trichoderma* spp. The presence of several other putative regulatory sequences in the promoter region, such as pH and stress response elements, also is consistent with a complex transcriptional regulation and deserves further experimental analysis. In contrast to most *Trichoderma* genes involved in mycoparasitism (Baek et al. 1999; Cortés et al. 1998; Pozo et al. 2004), expression of SM1 is not significantly induced by the presence of cell walls from a fungal host (*Rhizoctonia* spp.) during simulated parasitism. Indeed, only two of the four putative mycoparasitism responsive elements (MYRE) described in *Trichoderma* cell-wall-degrading enzymes (Cortés et al. 1998) were found in the SM1 promoter. The low induction in gene expression in the presence of a fungal host and the lack of enzymatic or toxic activity do not support a direct role of Sm1 in mycoparasitism.

Analysis of SM1 transcript levels during different fungal developmental stages in vitro revealed that the gene was expressed in all developmental stages tested, with higher expression during mycelial growth and conidiation than in germinating spores. Developing or sporulating mycelia are actively growing structures and, in rhizosphere-competent fungi, these are the structures that most likely will establish contact with its host plant. Using a hydroponic system that allows coculture of *Trichoderma* spp. and cotton seedlings, we have shown the induction of SM1 transcription in the presence of a host plant, coupled with higher Sm1 protein levels in the medium. Our re-

sults, namely, the large amount of protein secreted, the ability of Sm1 to act as an elicitor of plant defense reactions and disease resistance, and the induction of SM1 expression during growth in the presence of a host plant, indicate the role of Sm1 in the plant–fungus interaction. Recently, immunolocalization of cerato-platanin protein in *Ceratocystis fimbriata* showed that, in addition to being released abundantly into media, the protein is located in the cell walls of ascospores, hyphae, and conidia, as described for hydrophobins (Boddi et al. 2004). The localization at the fungal surface and the ability to induce defense reactions is consistent with a primary role involving direct contact with the plant recognition system. The role of hydrophobins in several mutualistic symbioses has been reported, including those established between fungi and plants, and algae and cyanobacteria (Honegger 1991; Scherrer et al. 2000; Tagu et al. 1996). For example, a role for hydrophobins *hydPt-1* and *hydPt* has been proposed during early stages of *Pisolithus–Eucalyptus* ectomycorrhizae formation, related to the adhesion of the mycelium to the root surface (Tagu et al. 1996). Interestingly, transcripts coding for a homolog of the cerato-platanin SnodProt1 protein were among the most abundant in the free-living mycelium of this ectomycorrhizal fungus (*Pisolithus microcarpus*) (Peter et al. 2003). Recently, transcriptional regulation of this gene (*SnodProt1*) during the establishment and functioning of the mycorrhizal association has been demonstrated (Duplessis et al. 2005). There is, therefore, strong indications supporting the role of these types of molecules, including Sm1, in fungus–plant interactions.

In summary, the importance of induction of plant defense responses by *Trichoderma* spp. in biocontrol is now widely accepted; however, the process is largely undefined compared with the well-documented responses by other beneficial organisms such as rhizobacteria (Pieterse et al. 2003). The ability of Sm1 to act as an elicitor of plant defense reactions and to confer protection toward fungal pathogen strongly support a key role of Sm1 in *Trichoderma*-induced systemic resistance. This is, to our knowledge, the first report of the cloning, purification, and characterization of a proteinaceous elicitor from this group of rhizosphere competent *Trichoderma* spp. Sm1 deletion and overexpression strains currently are being characterized, and fusion of Sm1 with the green fluorescent protein is underway to determine cellular localization of the protein within the fungus and during interaction with the plant (S. Djonović, A. Wiest, and C. M. Kenerley, unpublished). The spectrum of effectiveness of *Trichoderma* spp.-induced systemic resistance and the defense reactions triggered by Sm1 combined with the potential of increasing induced resistance to seedling pathogens by SM1 overexpressing strains may offer new directions for the biocontrol of plant pathogens.

## **MATERIALS AND METHODS**

### **Fungus and plant materials.**

Strain of *T. virens* Gv29-8 (Baek and Kenerley 1998) and an isolate of *Colletotrichum* sp. were used in this study. The strains were routinely maintained on PDA (Difco Laboratories, Detroit).

Plants used for elicitor activity tests were rice (*Oryza sativa* cv. M-202), two cotton (*G. hirsutum*) cultivars, Paymaster 2326 BG/RR and Deltapine-50, and the cotton breeding line CA3274. For phytotoxicity tests, leaves of approximately 3-week-old cotton (*G. hirsutum* cv. Paymaster 2326 BG/RR), rice (*O. sativa* cv. M-202), tobacco (*Nicotiana tabacum* cv. Glurk), and peanut (*Arachis hypogaea* cv. Flowrunner) were used. Cotyledons of 10-day-old cotton seedlings (*G. hirsutum* cv. Paymaster 2326 BG/RR) were used in *Colletotrichum* sp. resistance detached cotyledons assays.

### Plant-fungus coculture filtrates from a hydroponic growth system.

We have developed a hydroponic system similar to the one described by Yedidia and colleagues (Viterbo et al. 2004; Yedidia et al. 1999) to evaluate the resistance response of cotton seedlings stimulated by *T. virens*. Polycarbonate culture boxes (10.9 by 10.9 by 15.7 cm; Lifeguard; Sigma) each were provided with a polycarbonate stand to support 16 seedlings approximately 1 cm above the level of the growth medium (300 ml). Plant nutrient solution was half-strength Murashige and Skoog (MS) basal medium amended with Gamborg's vitamins (pH = 5.6) (Sigma-Aldrich, St. Louis). Seed were surface sterilized according to Dowd and associates (2004). Treated seed were placed in sterile petri dishes containing moistened filter paper and incubated in the dark for 2 days at 27°C to allow germination. Germinated seed with similar-sized roots were placed on the polycarbonate stands in each culture box. The aseptic boxes were maintained in a controlled environment at 23 ± 1°C and a 14-h photoperiod with slight agitation on the rotary shaker (45 rpm).

The mycelial inoculum of *T. virens* Gv29-8 was prepared by inoculating 100 ml of GYEC media (Thomas and Kenerley 1989) with  $3 \times 10^7$  spores/ml and incubating for 24 h on a rotary shaker at 120 rpm and 23°C. The mycelia were harvested on two layers of sterile Miracloth (Calbiochem, San Diego, CA, U.S.A.), washed with 200 ml of sterile water, and inoculated aseptically into 300 ml of MS medium containing 6-day-old cotton seedlings. For *Trichoderma* spp. growing in the absence of cotton seedlings, MS, supplemented with 0.05% sucrose (300 ml of medium) was inoculated with the same mycelial biomass. Control plants were grown in 300 ml of MS medium without *Trichoderma* spp. In treatments of control plants and plants inoculated with the fungus, the growth medium was replaced with fresh MS to reduce the extracellular proteins accumulated during plant growth. Two days later, media from all treatments was collected, filtered through a 10-μm NITEX nylon cloth, and 10 ml of each treatment was concentrated by using 10-kDa cutoff Millipore microcon filter devices (Bedford, MA, U.S.A.).

### N-terminal sequencing and antibody production.

The identified protein band (Sm1) was excised and electroeluted in the Electroeluter 422 (Bio-Rad, Hercules, CA, U.S.A.). This fraction was used to produce a polyclonal antibody in rabbits (Sigma, Woodlands, TX, U.S.A.). N-terminal sequencing of the protein was performed by automated Edman chemistry on a Hewlett Packard G1005A Protein Sequencer (Protein Chemistry Laboratory, Texas A&M University).

Computational protein analyses were performed using the ExPASy proteome server at the Swiss Institute of Bioinformatics and EMBOSS at the European Bioinformatics Institute. Multiple sequence alignments were performed using ClustalW at the Kyoto University Bioinformatics Center.

### Cloning of the *SM1* gene.

The sequence of the first 44 amino acid residues obtained by N-terminal sequencing was blasted against GenBank and a *T. reesei* EST database. After similarity searches were performed, the homologous nucleotide sequence of a *T. reesei* EST was used to design specific primers for PCR amplification of *T. virens* genomic DNA. The nucleotide sequences of primers were forward, 5'-GTCTCCTACGACACCGGCTA-3' (SmF), and reverse 5'-GTCGAGCGCAATGTTGAA-3' (SmR). *T. virens* genomic DNA, isolated as described previously (Xu et al. 1996), was PCR amplified using an Invitrogen *Taq* DNA polymerase kit (Carlsbad, CA, U.S.A.). The 264-bp SmF-SmR PCR product subsequently was purified (MinElute PCR purifi-

cation kit, Valencia, CA, U.S.A.) and sequenced. The purified product was used to probe our *T. virens* BAC library (Grzegorski 2001) and 33 positive BAC clones were identified. One of the positive clones was further digested with several restriction enzymes and the fragments subcloned into a pBluescript II SK (+ or -) vector. Sequencing of the subclones was performed by a primer-walking strategy (Sambrook et al. 1989). All sequencing reactions were performed at the Gene Technologies Laboratory (Texas A&M University). DNA sequences were analyzed by DNA Strider 1.2 (Marck 1988), and Sequencher 4.1 (GCC, Ann Arbor, MI, U.S.A.).

### *SM1* gene expression analysis.

Gene expression analysis was performed with fungal tissue grown under different environmental and developmental conditions and in the presence of cotton seedlings.

To assess nutritional regulation of *SM1*, Vogel's minimal medium (Vogel 1956) without a carbon source (VM) or supplemented with either 1.5% glucose (VMG) or 0.5% fungal cell walls from *Rhizoctonia solani* (VMR) as carbon sources was used (Pozo et al. 2004). Cell walls of *Rhizoctonia solani* as the sole carbon source were used to simulate mycoparasitic conditions and were prepared according to Ren and West (1992). To study *SM1* expression during different developmental stages of fungal growth, samples included: germinating spores (GS); nonsporulating mycelia (nSM) and sporulating mycelia (SM) grown on solid VMS or PDA medium; mycelia grown in liquid VMS medium; and mycelia of *T. virens* indirectly confronting *Rhizoctonia solani* mycelia (Cortes et al. 1998; McLeod et al. 2003; van West et al. 1998). For GS stage, the conidia were obtained from 5-day-old cultures on PDA by gently rubbing with a sterile glass rod and collecting the conidia by centrifugation at 5,000 rpm for 3 min. Collected spores were spread onto cellophane covering VMS or PDA and incubated for 12 h at 27°C in the dark to allow germination. After the incubation period, the spores were scraped off the cellophane, frozen in liquid nitrogen, and stored at -80°C until RNA extraction. For the nSM developmental stage, a mycelial plug from a 5-day-old PDA culture was inoculated onto cellophane overlaying VMS or PDA, incubated at 27°C for 2 days in the dark, and then harvested. For the SM stage, mycelia were collected after 5 days of growth on VMS and PDA plates. To obtain mycelia grown in liquid VMS medium, Gv29-8 at  $10^6$  spores/ml (final concentration) was inoculated and then incubated for 5 days at room temperature with shaking at 160 rpm. The indirect confrontation assay was performed as described by Cortes and associates (1998). Briefly, a plug of *Rhizoctonia solani* was covered with two cellophane disks before placing a *T. virens* agar plug on top. After approximately 3 days of growth at 27°C in the dark, the approximately 1-cm-overlapping mycelia of *T. virens* and *Rhizoctonia solani* were recovered and harvested separately. All the samples were frozen immediately in liquid nitrogen.

A hydroponic system (described above) was used to compare the expression of *SM1* in the fungus growing in the presence or absence of cotton seedlings. Cotton seedlings were grown for 6 days, inoculated with a mycelial preparation of Gv29-8, and incubated for 48 h. The mycelia from the culture filtrate and adhering to the roots in the hydroponic culture boxes were collected on 10 μm of NITEX nylon cloth.

Total RNA was extracted following the protocol of Jones and associates (1985). *SM1* expression under different nutritional conditions and developmental stages was analyzed by Northern blot using the 264-bp (SmF-SmR) PCR product as described below. The blots were reprobed with a 285-kb *NcoI* fragment from the serine protease *TVSP1* coding region as positive control for mycoparasitism-related regulation (Pozo et al. 2004). For analysis of expression of *SM1* in the hydroponic

system, semiquantitative (see later section) RT-PCR analysis was performed. Extracted RNA was DNase treated and cleaned using a DNA-free kit (Ambion, Austin, TX, U.S.A.). Total RNA (2.5 µg) was reverse transcribed with First-Strand cDNA Synthesis Kit (G.E. Healthcare, Piscataway, NJ, U.S.A.) using random hexa-mer pd(N)<sub>6</sub> as a primer. The *SM1* gene-specific primers were SmF-SmR, and the actin-specific primers, used as an internal standard, were forward, 5'-AAGAAGTTG CTGCCCTCGT-3' and reverse, 5'-GCTCAGCCAGGATCTT CATCATC-3'. PCR amplification of *SM1* fragments comprised 23 cycles for *SM1* (each cycle: 30 s at 94°C, 20 s at 5°C, and 20 s at 72°C) and 25 cycles for actin (each cycle: 30 s at 94°C, 30 s at 58°C, and 40 s at 72°C). For *SM1* expression analysis in the hydroponic system, the specificity of primers to *Trichoderma* spp. was examined by using cotton and *Trichoderma* genomic DNA. PCR products were electrophoresed on 2% agarose gels and band intensities compared within each experiment after ethidium bromide staining.

#### DNA, RNA, and protein gel blot analysis.

The 264-bp (SmF-SmR) PCR product was used as probe for DNA and RNA gel blot analysis using standard procedures (Sambrook et al. 1989). Hybridizations were performed overnight at 42°C using UltraHyb as hybridization buffer (Ambion).

For Sm1 protein detection, proteins from concentrated samples corresponding to equal volume equivalent to 300 ml of medium from the hydroponic system were electrophoresed on SDS-PAGE gels and electroblotted to a nitrocellulose membrane (Osmonics Inc., Gloucester, MA, U.S.A.). Sm1 protein was detected using Sm1 polyclonal antibodies (dilution 1:1,000) in a standard Western blot procedure (Sambrook et al. 1989).

#### Sm1 protein purification and mass spectrometry.

Sm1 was purified from protein extracts of Gv29-8 culture filtrates. The purification procedure consisted of two steps: i) AEX performed using a Sepharose Q column (10 by 20 cm; G.E. Healthcare) and ii) GFC using Superdex200 column (16 by 60 cm; G.E. Healthcare). For AEX, the protein pellet was resuspended in a 2-ml aliquot of 10 mM Tris, pH = 8.2, and loaded on a column previously equilibrated with the same buffer. Material bound to the AEX column was eluted using a linear gradient of solvent A (10 mM Tris, pH = 8.2) and solvent B (1 M NaCl, 10 mM Tris, pH = 8.2), at a flow rate of 5 ml/min with an Acta Explorer 10 (G.E. Healthcare). Sm1 was eluted at approximately 80 mM NaCl. This fraction was pooled, concentrated to 2 ml, dialyzed against 50 mM Tris and 100 mM NaCl, pH = 7.5, and chromatographed on a Superdex 200 column previously equilibrated with the same buffer. The Superdex 200 column was calibrated using the following molecular weight (MS) markers: blue dextran (MW 2,000,000), bovine serum albumin (MW 67,000), myoglobine (MW 16,900), aprotinin (MW 6,500), and potassium ferricyanide (MW 329.25). The column was eluted with 50 mM Tris, 100 mM NaCl at a flow rate of 0.5 ml/min. The Superdex 200 fraction which showed maximum absorbance at 290 nm was isolated, and 2-ml fractions dialyzed against 10 mM NH<sub>4</sub>HCO<sub>3</sub>. After removal of NH<sub>4</sub>HCO<sub>3</sub> under vacuum, the purity of protein was assessed by gel electrophoresis (SDS-PAGE) followed by silver and Coomassie brilliant blue R-250 staining.

The pure protein then was subjected to MALDI/TOF mass spectrometry (Laboratory for Biological Mass Spectrometry, Texas A&M University) for the determination of molecular weight.

#### Enzyme and toxin activity tests.

Pure Sm1 (1 µg) resuspended in water was tested for putative protease, glucanase, and chitinase activity. General and basic

protease activities were measured using Hide Azure powder (Calbiochem) and chymotrypsin substrate (Sigma) according to Flores and associates (1997) and Geremia and associates (1993), respectively. β-1,3-Glucanase and β-1,6-glucanase activity were determined by liberation of reducing sugars from laminarin and pustulan, respectively (Nelson 1957). For chitinase activity, the procedure of Ren and West (1992) was followed, using the substrates 4-methylumbelliferyl-β-D-N,N'-triacetylchitotriose (Sigma) for endochitinase and 4-methylumbelliferyl-β-D-glucosaminide (Sigma) for n-acetyl glucosaminidase activity.

Pure Sm1 resuspended in water was bioassayed for toxicity against several plant pathogenic bacteria and fungi as described by Park and associates (1992) and Howell and associates (1993), respectively. Bacteria tested included the gram-positive *Clavibacter michiganensis* subsp. *michiganensis* and *Bacillus cereus*, and the gram-negative *X. campestris* pv. *vesicatoria* and *Agrobacterium tumefaciens*. Fungi tested were *Mucor hiemalis*, *Sclerotium rolfsii*, *Botrytis cinerea*, *F. oxysporum*, *Rhizoctonia solani*, *Cochliobolus heterostrophus*, and the Oomycetes *Pythium ultimum* and *Phytophthora infestans*. Droplets (10 µl each) containing 0.05, 0.1, 0.5, 1, 2, 5, or 10 nmol of Sm1 were applied to each antibiotic disk for inhibition assays.

To assess phytotoxicity of Sm1, leaves of approximately 3-week-old cotton, tobacco, peanut, and rice were treated with 0.1, 1, 2, 5, or 10 nmol of purified Sm1 protein resuspended in water. The protein was applied either by infiltration (20 µl) using a Hagborg (1970) device or as a 3-µl droplet after slightly puncturing the leaves with a needle. After 24, 48, and 96 h of incubation, the leaves were monitored for appearance of necrotic lesions.

#### Elicitor activity tests: Production of H<sub>2</sub>O<sub>2</sub> and autofluorescence.

The production of H<sub>2</sub>O<sub>2</sub> in 3-week-old rice leaves and 1-week-old cotton cotyledons was examined 24 h after application of Sm1 (1 nmol) or H<sub>2</sub>O (negative control) following the procedure of Fitzgerald and associates (2004). Briefly, drops (2 µl) of Sm1 or water were placed on the upper surface of the leaves which previously were punctured slightly. Leaves then were vacuum-infiltrated with nitro 3,3'-diaminobenzidine (Sigma), incubated overnight, fixed and cleared in alcoholic lacto-phenol solution, and examined for the formation a red-brown precipitate. Microscopy was performed using an Olympus Stereoscope SZX-9 (Olympus America Inc., Melville, NY, U.S.A.) at ×40 magnification.

To test for autofluorescence, 1 nmol of Sm1, 52 nmol of INA (Sigma), or sterile H<sub>2</sub>O was applied to *G. hirsutum* leaves as described above. After 24 h of incubation, the induction of autofluorescence in leaves was assessed. Treated leaves were cleared in alcoholic lacto-phenol solution, incubated in 70% glycerol, and mounted on slides (Fitzgerald et al. 2004). Microscopy was performed using Olympus BX-51 fluorescent microscope (Olympus America Inc.) at ×200 magnification (excitation wavelengths from 470 to 490 nm, emission wavelengths from 510 to 550 nm, and a dichroic mirror at 505).

#### Elicitation of plant defense genes by Sm1.

Local induction of plant defense genes by Sm1 was examined in the roots of two different cotton cultivars, *G. hirsutum* cv. Paymaster 2326 BG/RR and Deltapine-50, and the cotton breeding line CA3274. Surface sterilized and pregerminated seed (as described previously) were collected, placed into sterile petri dishes with filter paper, and treated by spraying with a Preval sprayer (Yonkers, NY, U.S.A.). The treatments were sterile H<sub>2</sub>O (negative control), SA (positive control), or pure Sm1. Two different concentrations of Sm1 were tested:

i) 3 ml containing 12 nmol of Sm1 was applied to 10 roots placed on a regular plate (100 by 15 mm) to all genotypes and ii) additional lower doses consisting of 5 ml of 8 nmol Sm1 applied to 15 roots in a large plate (150 by 15 mm) to cv. Paymaster. For all genotypes, 3 ml containing 750 nmol of SA was applied. Three replications of each treatment were performed. Cotton roots were harvested at 3, 6, 9, 12, 24, and 48 h after spraying.

Local induction of plant defense genes was determined in cotyledons of 1-week-old Paymaster seedlings. Cotyledons were detached from the seedling and placed into sterile petri dishes containing moistened filter paper. A 5- $\mu$ l droplet of water, Sm1 (1 nmol), or INA (52 nmol) was applied as a hanging droplet to the cut petiole. The droplets were absorbed within 5 min of application. Additional water droplets were added to prevent the petiole from drying. After 24 h of incubation, the cotyledon tissue was harvested.

Systemic induction of plant defense genes was assessed using Deltapine-50. Surface sterilized and pregerminated cotton seed were placed into small sterile vials (containing MS medium) held by a polycarbonate stand. The polycarbonate stand, with the cotton roots, then was placed into a sterile polycarbonate culture box. Seedlings were provided with additional growth medium, if needed, on a daily bases. The seedlings were grown at 25°C in a 14-h photoperiod until the cotyledons were fully open (2 to 3 days). The seedlings then were transferred to fresh vials containing 0.2 ml of sterile water, 0.2 ml of water containing 3.2 nmol of Sm1 or 600 nmol of SA per plant. After 24 h, the root and the cotyledons were harvested separately and RNA extracted.

Expression of defense-related genes was analyzed in *G. hirsutum* cv. Paymaster seedlings hydroponically grown with or without *T. virens*. Plant root tissue was harvested from the hydroponic system previously described, after 48 h of incubation.

All harvested samples were frozen immediately in liquid nitrogen. Total RNA was extracted by the method of Wang and associates (2000).

### Expression analysis of cotton defense-related genes.

Expression of genes corresponding to different plant defense pathways was examined: *GLU* ( $\beta$ -1,3-glucanase), *CHT* (chitinase), *CADI-C* ((+)- $\delta$ -cadinene synthase), *HMG* (3-hydroxy-3-methylglutaryl CoA reductase), *POD6* (peroxidase), and *GhLOX1* (lipoxygenase). *G. hirsutum* actin gene (*ACT*) was used as comparison for quantitative gene expression. All primers used in this study are presented in Table 1. The primers to specifically amplify *HMG*, *GLU*, and *ACT* were designed based on available pathogen- or elicitor-induced cotton or other plant gene sequences available in the GenBank database. Primer sequences for *CADI-C*, *POD6*, and *GhLOX1* were obtained from reports of Chen and associates (1995), Delannoy and associates (2003), and Jalloul and associates (2002), respectively. Following amplification of *G. hirsutum* DNA, PCR products were sequenced to confirm their gene-specific identities. Semiquantitative RT-PCR analysis was employed. To define the optimal number of PCR cycles for linear amplification for each gene, a range of PCR amplifications were performed. Subsequently, PCR products were electrophoresed and stained with ethidium bromide, and band signals quantified by phosphorimaging (Fujifilm BAS 1800 II PhosphorImager, Tokyo). PCR amplification of *GLU*, *CHT*, *CADI-C*, *HMG*, and *ACT* fragments comprised 23 and 25 cycles (each cycle: 30 s at 94°C, 20 s at 52°C, and 30 s at 72°C); *POD6*, 25 and 27 cycles (each cycle: 30 s at 94°C, 20 s at 58°C, and 15 s at 72°C); and *GhLOX1*, 25 and 27 cycles (each cycle: 30 s at 94°C, 20 s at 58°C, and 40 s at 72°C) for root and leaf RNA, respectively. PCR products were electrophoresed on

agarose gels and band intensities compared within each experiment after ethidium bromide staining.

### *Colletotrichum* sp. resistance cotyledons assay.

Cultures of a *Colletotrichum* sp. for inoculation were grown for 7 days on PDA at 27°C in the dark. Ten-day-old cotton (cv. Paymaster) cotyledons were detached from the seedlings and placed into sterile petri dishes containing moistened filter paper. Cotyledons were elicited as described above, except that different amounts of elicitors were used: a 5- $\mu$ l droplet of Sm1 (0.5 nmol) or SA (25 nmol). After 24 h of incubation in the dark at 21°C, an agar plug (2 mm in diameter) of *Colletotrichum* sp. was placed on the adaxial side of each cotyledon which previously was punctured slightly. Following a 10-day-incubation period in the dark at 25°C, agar plugs were removed and cotyledons were photographed with a digital camera (Canon Power Shot S50; Tokyo). Percentage of the cotyledon area with water-soaking necrosis was determined using Image J software. Each treatment contained seven repetitions and the experiment was repeated at least twice. Data were analyzed by analysis of variance and Fisher's protected least significant difference test ( $P < 0.05$ ) (Statview v. 5.0.1; SAS Institute, Cary, NC, U.S.A.).

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## AUTHOR-RECOMMENDED INTERNET RESOURCES

European Bioinformatics Institute EMBL-EBI database:  
[www.ebi.ac.uk/MPsrch](http://www.ebi.ac.uk/MPsrch)  
*Trichoderma reesei* EST Database and Mitochondrial Genome:  
[trichoderma.iq.usp.br](http://trichoderma.iq.usp.br)  
 Swiss Institute of Bioinformatics ExPASy (Expert Protein Analysis System) proteomics server: [us.expasy.org](http://us.expasy.org)  
 EMBOSS at European Bioinformatics Institute: [www.ebi.ac.uk/emboss](http://www.ebi.ac.uk/emboss)  
 ClustalW at Kyoto University Bioinformatics Center: [clustalw.genome.jp](http://clustalw.genome.jp)  
 Image J software: [rsb.info.nih.gov/ij](http://rsb.info.nih.gov/ij)